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Approval for the establishment of a high-risk business relationship

The establishment of a **business relationship with higher risk** requires the approval of a line manager, a superior office, or the executive board of the company (§32 Para. 7 of the Regulations of the SRO PolyReg).

The establishment of a **business relationships with a politically exposed person** requires the approval of the highest management organ or at least one of its members, which must subsequently decide annually on the continuation of this business relationship (§34 Para. 4 of the Regulations of the SRO PolyReg).

Nature of the higher risk:

- Business relationship with higher risk**
(Signature of a line manager, a superior office, or the executive board of the company)

- Business relationships with a politically exposed person (PEP)**
(Signature of the highest management organ or at least one of its members)
 - Contracting Party is a PEP
 - Beneficial Owner or Controlling Owner is a PEP

Name of PEP: _____

Details of the business relationship:

Start of business relationship (date): _____

Business relationship affected: _____

Higher risk (risk description): _____

Special clarifications: _____

Ensuring the monitoring: Yes No

Ensuring the documentation: Yes No

Approval for the business relationship:

- Entering into a business relationship with higher risk
- Entering into a business relationship with a politically exposed person (PEP)
- Annual: Continuation of the business relationship with a PEP

Place, date

Signature of a line manager, a superior office, or the executive board of the company / the highest management organ or at least one of its members