

Member

PolyReg
Allg. Selbstregulierungs-Verein
Florastrasse 44
8008 Zürich

I/ We announce the following changes (within 30 days):

- | | |
|--|--|
| <input type="checkbox"/> Changes to company name/legal form or domicile ¹⁺² | <input type="checkbox"/> Change in the Board of Directors / Board of Management / Executive Board ¹⁺³ |
| <input type="checkbox"/> Change of address ¹ | <input type="checkbox"/> Entry / exit agents ⁴ |
| <input type="checkbox"/> Change of telephone/fax number/email | <input type="checkbox"/> Entry / exit of employees ⁵ |
| <input type="checkbox"/> Change of contact person ⁶ | <input type="checkbox"/> Change of reporting officer, training or dossier manager ⁷ |
| <input type="checkbox"/> Change of auditors ¹⁺⁸ | <input type="checkbox"/> Change of external AMLA auditor ⁸⁺⁹ |
| <input type="checkbox"/> Change in ownership structure ¹⁰ | <input type="checkbox"/> Initiation of criminal or administrative proceedings against a member of the board of directors, executive management, a person responsible for AMLA or a significantly involved owner. |
| <input type="checkbox"/> Change, expansion, restriction of business activities ¹¹ | |

New information:

Enclosures:

- | | |
|----------|----------|
| 1. ----- | 5. ----- |
| 2. ----- | 6. ----- |
| 3. ----- | 7. ----- |
| 4. ----- | 8. ----- |

_____, the _____ Signature: _____

1 Extract of the registry of commerce, in copy.
2 Copies of the certified statutes.
3 New pages 7, 8 and 16 of the application form, incl. enclosures.
4 New page 10 of the application form, copy of agency agreement, copy of passport / ID (place, date, signature), copy of criminal record extract.
5 New page 10 of the application form; organisation chart.
6 New pages 4, 15 and, if not yet available, page 16 of the application form, incl. enclosures.
7 New pages 11, 12, 15 and, if not yet available, page 16 of the application form, incl. enclosures.
8 New page 9; Extract of the registry of commerce of the auditor, in copy.
9 Declaration of acceptance by the auditor.
10 For > 33.33% voting or capital rights: Copy of criminal record extract of these parties; new page 5 of the application form. For companies Form A or K.
11 New page 6 of the application form, business documents (sample contracts, general terms and conditions, etc.)