

Member

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

PolyReg Allg. Selbstregulierungs-Verein  
Florastrasse 44  
8008 Zürich

**I/We notify the following mutation(s)** (notification period max. 30 days):

- |   |  |
|---|--|
| <input type="checkbox"/> Changes in company name/legal form/legal domicile <sup>1</sup>   | <input type="checkbox"/> Change in the Board of Directors/Board of Management/Executive Board <sup>1+2</sup>   |
| <input type="checkbox"/> Change of address <sup>1</sup>                                   | <input type="checkbox"/> Entry / exit of agents <sup>9</sup>   |
| <input type="checkbox"/> Change of telephone/fax number/e-mail                            | <input type="checkbox"/> Entry / exit of employees <sup>3</sup>  |
| <input type="checkbox"/> Change of contact person <sup>4</sup>                            | <input type="checkbox"/> Change of person responsible for freezing assets and reporting / for management and monitoring of client files / training officer <sup>5</sup>                                    |
| <input type="checkbox"/> Change of the audit company <sup>1+6</sup>                       | <input type="checkbox"/> Change of external AML inspection agency <sup>6+10</sup>  |
| <input type="checkbox"/> Change of ownership structure <sup>7</sup>                       | <input type="checkbox"/> Opening of criminal or administrative proceedings against a member of the board of directors, executive board, senior management, an AML officer, or a materially involved owner. |
| <input type="checkbox"/> Change, extension, restriction of business activity <sup>8</sup> |  |

**New details:**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Attachments:**

- |          |          |
|----------|----------|
| 1. _____ | 5. _____ |
| 2. _____ | 6. _____ |
| 3. _____ | 7. _____ |
| 4. _____ | 8. _____ |

\_\_\_\_\_, the \_\_\_\_\_

Signature : \_\_\_\_\_

<sup>1</sup> Copy of the extract of the commercial register of the member.

<sup>2</sup> New page 8 and 16 of the application for membership, incl. attachments.

<sup>3</sup> New page 10 of the application for membership; organisation chart.

<sup>4</sup> New pages 4, 15 and if not yet available page 16 of the application for membership, incl. attachments.

<sup>5</sup> New pages 11, 12, 15 and if not yet available page 16 of the application for membership, incl. attachments.

<sup>6</sup> Copy of the extract of the commercial register of the audit company.

<sup>7</sup> In case of > 33.33% voting or capital rights: Copy of current extract from the criminal record of these parties; new page 5 of the application for membership. For companies Form A or K.

<sup>8</sup> New page 6 of the application for membership.

<sup>9</sup> New page 10 of the application for membership, copy of agency agreement, copy of passport / ID (place, date, signature), copy of criminal record extract.

<sup>10</sup> Declaration of acceptance by the audit company.